



Annexure XI to the Listing Agreement

Date: 10th April, 2015

QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE

Name of the Company: Rachana Capital and Securities Limited

Quarter ending on: 31/03/2015

Particulars	Clause of Listing Agreement	Compliance Status Yes/No/N.A.	Remarks
II Board of Directors	49 II	Yes	
(A) Composition of Board	49 (IIA)	Yes	
(B) Independent Directors	49 (IIB)	Yes	
(C) Non-executive Directors' Compensation & Disclosures	49 (IIC)	N.A.	The Company is not paying any Compensation to Non-executive Directors except Sitting Fees within the prescribed limits under the Companies Act, 2013
(D) Other Provisions as to Board and Committees	49 (IID)	Yes	
(E) Code of Conduct	49 (IIE)	Yes	
(F) Whistle Blower Policy	49 (IIF)	Yes	
III. Audit Committee	49 (III)	Yes	
(A) Qualified & Independent Audit Committee	49 (IIIA)	Yes	
(B) Meeting of Audit Committee	49 (IIIB)	Yes	
(C) Powers of Audit Committee	49 (IIIC)	Yes	
(D) Role of Audit Committee	49 (IIID)	Yes	
(E) Review of Information by Audit Committee	49 (IIIE)	Yes	
IV. Nomination and Remuneration Committee	49 (IV)	Yes	
V. Subsidiary Companies	49 (V)	N.A.	Co. does not have any subsidiary Company
VI. Risk Management	49 (VI)	Yes	
VII. Related Party Transactions	49 (VII)	N.A.	There are no materially significant transactions with related parties
VIII. Disclosures	49 (VIII)		
(A) Related Party Transactions	49 (VIIIA)	N.A.	There are no materially significant transactions with related parties
(B) Disclosure of Accounting Treatment	49 (VIIIB)	Yes	Complied
(C) Remuneration of Directors	49 (VIII C)	Yes	





RACHANA

Capital & Securities Ltd.

Registered office : 903, D Tower, Kalpvruksh Apartment,
 Gotri, Vadodara - 390021 Ph: 0265 3192220,
 Email: rachnacapital@gmail.com
 Web: www.rcasl.com
 CIN No.: L67120GJ1992PLC017397

(D) Management	49 (VIII D)	Yes	Will be Complied in Next Annual Report
(E) Shareholders	49 (VIII E)	Yes	Will be Complied in Next Annual Report
(I) Proceeds from Public Issue, Right Issues, preferential Issues etc.	49 (VIII I)	Yes	Will be Complied in Next Annual Report
IX. CEO/CFO Certification	49 (IX)	Yes	Will be Complied in Next Annual Report
X. Report on Corporate Governance	49 (X)	Yes	Will be Complied in Next Annual Report
XI. Compliance	49 (XI)	Yes	Will be Complied in Next Annual Report

For Rachana Capital and Securities Limited

[Signature]
 Director



(A) Other provisions as to Board and Committees	49 (IX)	Yes	
(B) Code of Conduct	49 (IX)	Yes	
(C) Whistle Blower Policy	49 (IX)	Yes	
III. Audit Committee	49 (IX)	Yes	
(A) Qualified & Independent Audit Committee	49 (IX)	Yes	
(B) Meeting of Audit Committee	49 (IX)	Yes	
(C) Composition of Audit Committee	49 (IX)	Yes	
(D) Role of Audit Committee	49 (IX)	Yes	
(E) Statement of Responsibility by Audit Committee	49 (IX)	Yes	
IV. Internal Control and Internal Audit Committee	49 (IX)	Yes	
V. Internal Control	49 (IX)	N.A.	Co. does not have any subsidiary Company
VI. Risk Management	49 (IX)	Yes	
VII. Related Party Transactions	49 (IX)	N.A.	There are no related party transactions
VIII. Shareholders	49 (IX)	N.A.	There are no shareholders
(A) Related Party Transactions	49 (IX)	N.A.	There are no related party transactions
(B) Appointment of Auditing Firm	49 (IX)	Yes	
(C) Appointment of Director	49 (IX)	Yes	

