



Date: 9th October, 2014

QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE: Quarter: 30/09/2014

Particulars	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
I Board of Directors	49 I	Yes	
(A) Composition of Board	49(IA)	Yes	
(B) Non-executive Directors' Compensation & Disclosures	49 (IB)	Yes	
(C) Other Provisions as to Board and Committees	49 (IC)	Yes	
D) Code of Conduct	(49 (ID)	Yes	
II. Audit Committee	49 (II)	Yes	
(A) Qualified & Independent Audit Committee	49 (IIA)	Yes	
(B) Meeting of Audit Committee	49 (IIB)	Yes	
(C) Powers of Audit Committee	49 (IIC)	Yes	
(D) Role of Audit Committee	49 (IID)	Yes	
(E) Review of Information by Audit Committee	49 (IIE)	Yes	
III. Subsidiary Companies	49 (III)	N.A	Co. does not have any subsidiary Company
IV. Disclosures	49 (IV)		
(A) Basis of Related Party Transactions	49 (IV A)	Yes	
(B) Disclosure of Accounting Treatment	49 (IV B)	Yes	Complied
(C) Board Disclosures	49 (IV C)	Yes	
(D) Proceeds from Public Issues, Rights Issues, Preferential Issues etc.	49 (IV D)	Yes N.A.	Company has not raised money through an issue (public issues, rights issues, preferential issues etc. during the Quarter/ Year).
(E) Remuneration of Directors	49 (IV E)	Yes	
(F) Management	49 (IV F)	Yes	Available in Annual Report
G) Shareholders	49 (IV G)	Yes	Available in Annual Report
V. CEO/CFO Certification	49 (V)	Yes	Available in Annual Report
VI. Report on Corporate Governance	49 (VI)	Yes	Available in Annual Report
VII. Compliance	49 (VII)	Yes	Available in Annual Report

For Rachana Capital and Securities Limited

Rajesh Chavhan
Director

